

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Re: Inventor(s): Grimbergen
Title: Monitoring a Process and Compensating for Radiation Source Fluctuations



A

Transmitted herewith is the patent application identified above, including:

- ☒ Specification, claims and abstract, totaling 34 pages.
- ☒ Drawings totaling 5 pages, X Formal Informal.
- ☒ Executed Declaration and Power of Attorney.
- ☒ Information Disclosure Statement, PTO-1449 form, and copies of citations
- ☒ Assignment of the invention to Applied Materials, Inc. and Assignment Recordation Cover Sheet
- ☒ Post Card for return

FEE CALCULATION					
Fee Items	Claims Filed	Included with Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	56	- 20 =	36	x 18.00	648.00
Independent Claims	8	- 3 =	5	x 78.00	390.00
Basic Filing Fee				760.00	\$760.00
TOTAL FEES					\$ 1,798.00

- ☒ The Commissioner is hereby authorized to charge \$1,798.00 to Deposit Account No. 01-1651.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-1651. A duplicate copy of this transmittal is enclosed.
- ☒ Please address all future correspondence to: **APPLIED MATERIALS, INC.**
Patent Department
P.O. Box 450A
Santa Clara, CA 95052

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231

Express Mail Receipt No. EL26633786245

Date of Deposit 8/24/99

Signature Robert W. Mulcahy

Respectfully submitted,

Robert W. Mulcahy
Registration No. 25,436

United States Patent Application for:

**MONITORING A PROCESS AND
COMPENSATING FOR RADIATION SOURCE FLUCTUATIONS**

Inventor: Michael N. Grimbergen,
a citizen of the United States of America,
767 Martinique Drive
Redwood City, California 94065.

Assignee: Applied Materials, Inc.

Entity: Large Entity

Docket No.: 3948/USA/SILICON/MBE

CERTIFICATE OF EXPRESS MAILING:

"Express Mail" mailing label number EL246337862US

Date of Deposit 8/24/99

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

BETH MULCAHY
Name of Person Mailing Paper

Beth Mulcahy
Signature of Person Mailing Paper

MONITORING A PROCESS AND COMPENSATING FOR RADIATION SOURCE FLUCTUATIONS

BACKGROUND

The present invention relates to monitoring of a substrate fabrication process.

5

In the fabrication of electronic devices, semiconductor, dielectric and conductor materials, such as for example, polysilicon, silicon dioxide, and metal containing layers, are deposited on a substrate and etched to form features such as patterns of gates, vias, contact holes and interconnect lines. These features are typically formed by chemical vapor deposition (CVD), physical vapor deposition (PVD), oxidation and etching processes. For example, in a typical etching process, a patterned mask of photoresist or oxide hard mask is formed on a substrate by photolithographic methods and exposed portions of the substrate are etched by a plasma. In a typical CVD process, a plasma of a gas is decomposed to deposit a layer on the substrate. In PVD processes, a target facing the substrate is sputtered to deposit the target material onto the substrate.

10
15
20
25
30

In such processes, it is often necessary to monitor events within the process chamber, including process conditions such as pressure, temperature, deposition on chamber sidewalls, and especially substrate characteristics such as etch depth, layer thickness and composition. For example, in deposition processes, it may be desirable to change process conditions to change the nature of the film being deposited on the substrate or to stop deposition after a desired film thickness has been obtained. In etching processes, it may be desirable to stop etching of a particular layer (for example, a gate oxide layer) at a specified thickness. Techniques to monitor substrate characteristics involve providing radiation in the process chamber to interact with the substrate and/or a plasma and detecting the radiation emanating from the chamber. Chamber conditions such as the thickness of deposits formed on chamber walls, can also be monitored by directing the radiation onto the chamber wall and measuring the reflected radiation.

Examples of these monitoring techniques include interferometry and ellipsometry. In interferometry, a light beam directed onto a layer on a substrate is partially reflected from the surface of the layer, and partially transmitted through the layer and reflected by one or more underlayers. Constructive and destructive interference of the multiple reflections give rise to an interference pattern which undergoes periodic maxima and minima depending upon the path length of the radiation through the thickness of the layer being processed on the substrate. Before processing of the substrate, an initial thickness of the layer is assumed or measured. During processing, the observed periodic maxima and minima of a measured interference pattern are directly correlated to a calculated reduction in thickness of the layer (which would result in a changing pathlength of the radiation transmitted through the layer being processed) to estimate an endpoint of the process. Examples of interferometric apparatus and techniques are described in U.S. Patent Nos. 4,776,695, 5,691,540, 4,680,084, 4,840,487, and 5,087,121 - all of which are incorporated herein by reference. As another example, in ellipsometry, a polarized light beam is reflected from a layer being processed on a substrate and analyzed to determine changes in the phase and magnitude of the reflected light beam that occur as the layer is being processed, as for example as disclosed in U.S. Patent Nos. 3,874,797 and 3,824,017, both of which are incorporated herein by reference.

In the processing of sub-micron sized features, the magnitude of the radiation signal used to monitor the processing of features on the substrate becomes proportionately smaller as the size of the features becomes smaller. As a result, the signal-to-noise ratio of the monitored signal is significantly reduced and any fluctuations of the light sources from which the radiation signals originate, play a larger role in reducing the radiation signal being monitored. In prior art processes, the small levels of the source fluctuations were not a significant problem because process-induced changes in the reflected radiation had a relatively large magnitude. However, as the size of the etched features or the thickness of the etched and deposited layers becomes smaller, the magnitude of the reflected radiation change is also smaller, and previously undetectable signal fluctuations now reduce the signal to noise ratio to unacceptably low levels.

In addition, radiation generated from the plasma may also interfere with the radiation signal that is being monitored during the process, which further reduces the signal to noise ratio of the monitored radiation signal. When an external radiation source is used as the source of the radiation, the background radiation from the plasma interferes with, or dominates, the radiation measurements that are being monitored, especially where the radiation being measured has wavelengths similar to the radiation emitted by the plasma. This overlap of wavelengths occurs, for example, where a mercury lamp is used as the radiation source, and a silicon dioxide or other dielectric layer is being etched with a plasma containing fluorine or argon. In these cases, it is desirable to reduce the effect of the fluctuations of background and the source radiation.

Thus, it is desirable to accurately monitor radiation signals during the plasma processing of a substrate in a process chamber. It is further desirable to reduce signal fluctuations that occur during processing and improve the signal-to-noise ratio of the radiation measurements. It is also desirable to have a process chamber and monitoring system that may be easily adapted to existing apparatus.

SUMMARY

These needs are satisfied by the present invention. In one aspect, the invention provides a substrate processing apparatus comprising a process chamber, a radiation source capable of providing radiation in the chamber; one or more detectors to detect a first radiation from the chamber and a second radiation from the radiation source; and a signal analyzer to normalize a property of the first radiation relative to a property of the second radiation.

In another aspect, a method for monitoring processing of a substrate in a chamber comprises the steps of providing radiation in the chamber, detecting the radiation after it interacts with the substrate being processed, and generating a sample signal detecting a reference radiation that does not interact with the substrate and generating an reference signal; and normalizing the sample signal relative to the reference signal.

In a further aspect, a substrate processing apparatus comprises a chamber capable of processing a substrate. The chamber comprises a radiation source. A detector is provided to detect a reflected radiation from the chamber and to generate a sample signal. A signal analyzer is adapted to receive the sample signal and to determine a corrected sample signal, X_{nt} , using the expression $X_{nt} = X_t / \{Y_0 + C(Y_t - Y_0)\}$, where C is the correction factor, Y_0 is the reference signal at time 0, X_t is the sample signal at time t, and Y_t is the reference signal at time t.

In another aspect, a substrate processing apparatus comprises a chamber capable of processing a substrate. The chamber comprises a radiation source. A sample detector is provided to detect a reflected radiation from the chamber and to generate a sample signal. A reference detector is provided to detect a reference radiation from the radiation source and generate a reference signal and one or more first fibers are provided to transmit the reference radiation to the reference detector.

In yet another aspect, a substrate processing apparatus comprising a chamber capable of processing a substrate. The chamber comprises a radiation source that includes a plasma; a sample detector to detect a reflected radiation from a substrate in the chamber and generate a sample signal; a reference detector to detect a reference radiation from the plasma and generate a reference signal; and one or more first fibers to transmit the reference radiation to the reference detector.

In another aspect, a substrate processing apparatus comprises a chamber having a radiation source; a detector to detect a property of a radiation from a radiation source; and a feedback controller to regulate a power level of the radiation source in relation to the detected property of the radiation.

In another aspect, a substrate processing apparatus comprises a chamber; a radiation source; a detector to detect a property of a radiation from a radiation source and generate a reference signal; and a radiation modulator in a path of a radiation being transmitted from the radiation source to the chamber, whereby the radiation modulator may receive a signal from the radiation source and control a property of the radiation in relation to the reference signal.

In a further another aspect, a method for monitoring processing of a substrate in a chamber comprises the steps of providing radiation in the chamber; detecting a reference radiation that does not interact with the substrate and generating an reference signal; and controlling a property of the radiation in relation to the reference signal.

DRAWINGS

These and other features, aspects, and advantages of the present invention will be better understood from the following drawings, description and appended claims, which illustrate examples of the invention. While the description and drawings below illustrate exemplary features of the invention, it is to be understood that each of the features can be used in the invention in general, not merely in the context of the particular drawing, and the invention includes any combination of these features.

Figure 1 is a schematic sectional view of a substrate processing apparatus according to the principles of the present invention;

Figures 2a to 2d are schematic diagrams showing different arrangements of fiber bundles for receiving source or reference radiation;

Figure 3 is a flowchart of a process for monitoring etching of a substrate in the apparatus of Figure 1;

Figure 4 is a graph of the intensities versus time of a sample signal (a), the corresponding reference signal (b), and the normalized sample signal after correcting for fluctuations in source and background radiation (c);

Figure 5 is an illustrative block diagram of a hierarchical structure of a process control software of a computer-readable program according to the present invention; and

Figures 6 and 7 are schematic diagrams of an apparatus suitable for compensating for source fluctuations by a feedback loop circuitry.

DESCRIPTION

5 The present invention is useful for monitoring events in an apparatus via a radiation measuring technique such as for example, reflectance/absorbance, interferometry, ellipsometry and photoluminescence. The events are process characteristics that include for example, process conditions such as pressure, temperature, deposition on chamber sidewalls; and substrate properties such as etch depth, layer thickness, trench depth and composition. The invention is particularly useful for endpoint detection and reflectivity-based processes, such as interferometry, that are conducting during plasma etching or deposition processes. For example, a substrate **20** may be etched in the etching apparatus **26** that is illustrated in Figure 1, and the etching process being conducted on the substrate **20** may be monitored by the present invention. Typically, the substrate **20** comprises a support, such as a wafer of silicon or a compound semiconductor, or a dielectric such as silicon dioxide, and the substrate **20** may have thereon a plurality of layers, such as for example, include dielectric, semiconducting, and metal-containing conductor layers. Generally, the apparatus **26** comprises a process chamber **28** having a process zone **30** for processing the substrate **20** and a support **32** that supports the substrate **20**. Process gas is introduced into the chamber **28** through a gas delivery system **34** comprising a process gas supply **36**, gas outlets **38** located around the periphery of the substrate **20** (as shown) or in a showerhead mounted on the ceiling of the chamber (not shown), and a gas flow controller **40** adapted to control the flow rate of the process gas. Spent process gas and etchant byproducts are exhausted from the chamber **28** through an exhaust **42** comprising roughing and turbomolecular pumps (not shown) and a throttle valve **44** is used to control the pressure of process gas in the chamber **28**. An energized gas or plasma is generated from the process gas by a plasma generator **46** that couples RF or microwave energy to the process gas in the process zone **30** of the chamber **28**, such as for example, an inductor antenna **48** comprising one or more coils powered by an antenna power supply **49** that inductively couple RF energy to the chamber. In addition, a first process electrode **50** – such as an electrically grounded ceiling or sidewall of the chamber **28**, and a second electrode

52 – such as an RF biased support 32 below the substrate 20, can be used to further energize the gas in the chamber 28. The first and second electrodes 50, 52 are electrically biased relative to one another by an RF voltage provided by an electrode voltage supply 54. The frequency of the RF voltage applied to the inductor antenna 48 and the electrodes 50, 52 is typically from about 50 KHz to about 60 MHz and more typically about 13.56 MHz.

The apparatus also comprises a radiation source 58 that may be outside or inside the chamber to provide a source of radiation in the chamber 28. The radiation source 58 may comprise, for example, an emission from a plasma generated inside the chamber 28, the plasma emission being generally multispectral, i.e., providing radiation having multiple wavelengths extending across a spectrum. The radiation source 58 may also be positioned outside the chamber 28 so that radiation 60 is passed from the source 58 through a window 61 and into the chamber 28. The radiation source 58 may provide radiation such as ultraviolet (UV), visible or infrared radiation; or may provide other types of radiation such as X-rays. In one version, the radiation source 58 provides radiation having only a predominant wavelength, such as a monochromatic light having primarily radiation at a single or a few wavelengths, for example, a He-Ne or Nd-YAG laser. Alternatively, the radiation source 58 may provide multiple wavelengths of radiation, such as polychromatic light, which may be selectively filtered to provide substantially only a single wavelength. Suitable radiation sources 58 for providing polychromatic light include Hg discharge lamps that generate a polychromatic spectrum of light having wavelengths in the range of from about 180 to about 600 nanometers, arc lamps such as xenon or Hg-Xe lamps and tungsten-halogen lamps; and light emitting diodes (LED).

During process monitoring, it has been discovered that instabilities of the properties or other characteristics of the radiation emitted from the radiation source 58 can significantly reduce the signal to noise ratio of the monitored or detected radiation. These instabilities may be in the form of changes in the intensity, wavelength or phase of the radiation. For example, UV lamps have been found to exhibit substantial intensity instabilities of emitted UV radiation during the time it takes to fully process a substrate. From a study of mercury arc lamps it has been further discovered that the intensity of these lamps may vary temporally as a function

of time, spatially with different areas of the lamp emitting different intensities, or both temporally and spatially, and the temporal variations may also differ at differing wavelengths. The instabilities may include spikes, jumps and broadband noise. In a series of experiments, the intensity of low-pressure discharge mercury lamps was measured over several days using a UV-sensitive PMT detector with a bandpass filter. The lamp intensity was observed to oscillate intermittently over a duration of minutes, hours and even days with an amplitude of up to 5% and a period of 3 to 90 seconds. Similar instabilities can be observed for other sources such as arc lamps. The instabilities are not predictable, may occur for only a few seconds or a few hours and may result in significantly inaccurate measurements, especially when the feature being measured is thin or small. Thus it is important to correct the lamp instabilities in situ with processing of the substrate.

The system **56** further comprises a first radiation detector **62** which serves as a sample detector for detecting radiation **64** emanating from the chamber **28** and after the radiation is reflected from the chamber walls or substrate **20**. A single radiation detector **62** may be used to detect both the reflected radiation as well as radiation originating directly from the radiation source **58**, by for example, alternating inputs from the source **58** and process chamber **28**. Alternatively, the system **56** may also comprise a plurality of detectors, including for example a second radiation detector **63** which serves as a reference detector for detecting radiation emitted from the radiation source **58**. The detectors **62**, **63** comprise a radiation sensor, such as a photovoltaic cell, photodiode, photomultiplier, or phototransistor, which provides an electrical output signal in response to a measured intensity of the sample radiation **64**. The detector signal can comprise a change in the level of a current passing through an electrical component or a change in a voltage applied across an electrical component. The detector may comprise a photomultiplier (PMT), such as those commercially available from Hamamatsu, Japan.

The detectors **62**, **63** may be coupled to the chamber **28** by one or more radiation transmitting fibers **69** or other equivalent means for transmitting radiation, such as a radiation conduit, that lead from the chamber **28** to the detectors **62**, **63**. This reduces noise from the external environment by providing an isolated radiation pathway along which the radiation is carried. The type of fiber **69** depends upon the

radiation being transmitted therethrough, for example, an optical fiber is suitable for the transmission of UV or visible radiation. In one version, the fibers **69** comprise one or more sets or bundles of fibers, as shown in Figure 1, with for example, one fiber bundle **69a** being used to transmit radiation emanating from the chamber **28** to a sample detector **62** that measures the radiation properties during processing of a substrate **20** in the chamber **28**. Another bundle of fibers **69b** is positioned so that one end **71a** receives radiation directly from the radiation source **58**, and the other two bifurcated ends **71b,c** transmit the radiation to a reference detector **63** and to the chamber **28**, respectively. This arrangement of fibers is suitable for transmitting radiation directly from the source **58** to the chamber and detectors to allow for correction of temporal variations in the properties of the radiation provided by the source, i.e., when a property of the radiation varies over a period of time.

Effective use of ratio mode depends on a sampling method if light intensity varies spatially as well as temporally. Thus, when using a beam splitter or pick-off mirror, the area of the source **58** that is sampled for the reference detector **63** must correspond as closely as possible to that of the area of the source **58** that is sampled to serve as the radiation for the chamber **28b**. For example, in the version shown in Figure 2a, a pair of fibers **69c,d** are shown with their ends **71d,e** provided adjacent to the radiation source **58** to receive radiation therefrom, and with their other ends **71f,g** transmitting the radiation to the chamber **28** and the reference detector **63**, respectively. Fiber sampling has an intrinsic advantage due to the small size of the fibers **69c,d**. If the size of the fibers **69c,d** is much larger than the area of the source **58** being sampled, then side-by-side fibers, one for the signal beam to the chamber **28** and one for the reference beam, may be used to sample the same area of the source **58** to provide good cancellation of source fluctuations. The conical fields of view **73a, 73b** for each of the fibers **69c,d**, respectively, are positioned so that they have a substantially overlapping field of view of the same spatial area of radiation being emitted from the radiation source **58**. This ensures that both ends **71d,e** of the fibers **69c,d** see the same radiation and compensate for both spatial and temporal variations in the properties of the radiation emanating from the source **58**. Thus, if there are spatial variations in the property of the radiation, i.e., variations that occur across the area of radiation emitted from the source **58**, this arrangement allows both

fibers **69c,d** to see the same variation, thereby allowing for compensation of such variations.

If the area of the fibers **69** is large because a bundle is used, then the
5 fibers that are used to collect radiation for the reference detector **63** are in the signal
bundle, and may be evenly distributed within the bundle or at the center of the bundle
if only a few fibers are used. For example, in the version illustrated in Figure 2b, two
sets of fibers **69g,h** are spaced apart and distributed so that the radiation seen by
each set is from substantially the same sized area of the radiation source **58**, which
10 may be a portion or the entire field of radiation emanating from the source **58**. For
example, the fibers **69g** that transmit radiation from the source **58** to the reference
detector **63** may be interspersed with the fibers **69h** that transmit radiation from the
source **58** to the chamber **28** so the conical fields of view **73c,d**, respectively, cover
an entire radiation transmitting portion of the radiation source. The larger area of the
15 source **58** covered by a plurality of fibers having overlapping field of views
73c,d reduces the spatial variability of the radiation and allows averaging of the
radiation signal emanating from a larger portion or area of the radiation source **58**. It
may also be desirable for both sets of fibers **69g,h** to cover the same area of the
radiation source **58** so that the same source fluctuations are seen, and this is
20 accomplished by interspersing the fibers to provide overlapping fields of view covering
the same region.

In the version illustrated in Figure 2c, a lens **77** (or lens assembly which
is not shown) is used to focus radiation from the radiation source **58** onto an end
25 **73** of the fibers **69**. The lens **77** can match the field of view of the fibers **69** thereby
allowing more radiation to be incident on the fibers and is particularly useful when the
area of the end **73** of the fibers **69** is much smaller than the radiation emitting area of
the radiation source **58**. Again, because a larger area of radiation from the source
58 is concentrated and focused onto the end of the fibers **69**, there is provided
30 enhanced averaging of the spatial variations of the radiation emanating from the
radiation source **58**, thereby further reducing the effect of such variations.
Alternatively, the lens **77** can be used to couple light from a selected region of the
radiation source if that region is more stable.

Figure 2d illustrates simply that the radiation source **58** may also be the plasma in the chamber **28** that emits radiation, and when such a source is used the fibers **69e,f** are positioned to receive the reflected radiation **64** from the substrate **20**, as well as reference radiation **62** which is not reflected from the substrate **20** and originates directly from the plasma in the chamber **28**. For example, the fibers **69e** are positioned to transmit reflected radiation **64** from the substrate **20** directly to the sample detector **62**; and the fibers **69f** transmit radiation from a portion of the plasma which is not reflected from the substrate -- by being pointed toward another region such as a wall **50** in the chamber **28** -- to the reference detector **63a**. In another embodiment, the reference detector **63b** also receives radiation **62b** originating from the plasma but which is viewed from the side of the plasma (which does not interact with the substrate) and not from the top of the plasma (which does interact with the substrate). In these versions, the fluctuations in plasma intensity that arise from species emission charges, plasma brightness variations, or other such variations, are needed to compensate for the fluctuation and are detected by collecting plasma light which is not reflected off the substrate **20**.

In these versions, the fibers **69** may preferably lead directly to the reference detector **63** so that the radiation pathway travels along the fiber to the reference detector **63** substantially without ending or breaking in the process chamber **28** and without ending or breaking for moving parts (although the radiation may pass through a nonmoving filter). This direct connection via the fiber **69** is less prone to collect noise from outside light sources and easy to configure in different types of apparatuses. Thus, the directly connected fibers **69** are advantageous over other light transmitting systems employing either moving parts (such as monochromators) or more complex parts (such as conventional beam splitters). However, the fibers **69** may be replaced by a beam splitter (not shown) such as a semipermeable mirror to transmit a reference signal to a detector **63**. While the beam splitter will work, it is generally preferred to use fibers **69** to transmit radiation originating from the radiation source **58** directly to the detector **63**. This is because conventional beam splitters are more costly and complex and require additional mounting and positioning means. Also, although a conventional beam splitter works well with a well-defined and collimated beam with a consistent profile, if the intensity of the radiation from the

source **58** varies spatially, the portion of the beam which acts as a reference must be selected by additional optics and such means.

Optionally, a lens **66** (or assembly of lenses) is used to focus radiation emitted by the radiation source **58** onto the substrate **20** and/or to focus radiation **64** at least partially reflected back from the substrate **20** onto the sensor of the detector **62**. For example, for a radiation source **58** comprising a Hg-discharge lamp located outside the chamber **28**, as shown in Figure 1, a plurality of convex lenses **68a**, **68b** can be used to focus radiation from the lamp through the window **61** and onto a beam spot **70** on the substrate **20**. The area of the beam spot **70** should be sufficiently large to provide an accurate measurement of the surface topography of the substrate **20**. The lenses **68a**, **68b** can also be used to focus reflected radiation **64** back onto the sensor of the radiation detector **62**. The configuration in which radiation strikes the substrate at an incident angle of about 90° , as shown in Figure 1, is preferred for interferometry; however, as is known in the art, other incident angles can also be used and the apparatus **26** for receiving the reflected radiation positioned accordingly.

Optionally, a positioner **72** is used to scan the incident radiation **60** across the substrate surface to locate a suitable portion of the substrate **20** on which to "park" the beam spot **70**. The positioner **72** comprises one or more primary mirrors **74** that rotate at small angles to deflect radiation from the radiation source **58** onto different positions of the substrate surface (as shown). Alternatively, the mirrors **74** can also direct radiation emitted from a plasma emission and at least partially reflected off the substrate **20** back onto the radiation detector **62**. Additional secondary mirrors (not shown) can be used to intercept and focus reflected radiation back on the radiation detector **62**. The positioner **72** can also be used to scan the radiation in a raster pattern across the substrate **20**. In this version, the positioner **72** further comprises a movable stage (not shown) upon which the radiation source **58**, lens assembly **66**, and radiation detector **62** are mounted. The movable stage can be moved through set intervals by a drive mechanism, such as a stepper motor, that scans or otherwise moves the beam spot **70** across the substrate **20**.

Radiation having a plurality of wavelengths, such as polychromatic light from a lamp or a plasma emission spectra, can be reduced to a single or narrow band of wavelengths by placing a monochromator or filter **75, 76** in the path of the radiation detector **63** and/or the incident or reflected radiation **60, 64**. The monochromator or filter **76** may be located in the lens assembly **66** but can also be located at other positions in the chamber **28**, for example, in the chamber window **61**, in front of the radiation detectors **62, 63** or in front of the radiation source **58**. A suitable filter comprises a stack of thin films on a transparent support that selectively transmits radiation having the desired wavelength or a lens made from a material that selectively passes radiation having the desired wavelength. The monochromator **75, 76** can also comprise a diffraction grating having a diffraction spacing that scatters radiation having undesirable wavelengths and allow radiation having the desired wavelength to pass through. Although usable in some embodiments, rotating disk monochromators and varying wavelength filters are not preferred because of their greater complexity. For enhanced simplicity and reliability, the monochromator or filter preferably does not have moving parts. Other suitable filtering means, for example, attenuation of radiation through a long pathlength in a partially absorbing material, or selective filtering by the radiation detectors **62, 63** to generate an electrical signal based only the portion radiation having the desired wavelength, can also be used. Since the intensity of the source radiation at different wavelengths can vary independently, it is usually desirable to select for the same wavelength for both the sample and reference radiation.

Properties of the radiation, such as intensity, phase, or wavelength are measured by the radiation detectors **62, 63** and converted to electrical signals. The detectors **62, 63** are capable of detecting a first property of a reflected radiation emanating from the chamber **28** and a second property of the radiation originating from the radiation source **58**. The first and second properties can be different properties or the same property. The electrical signals from the detectors **62** (the sample signal) and **63** (the reference signal) are transmitted to a signal analyzer **130** which electrically manipulates or operates on the electrical signals to reduce the effect of the fluctuations in the characteristics of the radiation as it originates from the radiation source **58**. For clarity, the signal analyzer **130** and signal monitoring

software 126 are shown as separate components in Figure 1; however, these components can be the same thing and are usually part of the computer 114.

In a first embodiment of the invention, the signal analyzer 130
5 normalizes a first property of the reflected radiation relative to a second property of the radiation originating from the radiation source 58. By "normalizing" it is meant that the measured first property of the sample signal is modified by the measured second property of the reference signal to derive a normalized signal that takes into account or has compensated for fluctuations of the source radiation. Thus,
10 normalizing could involve modifying the sample and reference signals by subtraction of one signal from the other, division, or other types of mathematical operations or comparisons, and combinations of these methods. A particular signal normalizing procedure that is found to be especially advantageous for use in semiconductor process chambers, especially plasma chambers, is obtaining a normalized sample signal by dividing the sample signal with the reference signal. The division process of normalization is preferred because it does not require gain matching in the sample and reference channels. In a typical normalization step, the signal analyzer 130 mathematically operates on the sample and reference signals to determine a normalized sample signal by the expression:

$$X_n = X_t / Y_t ;$$

where X_n is the normalized sample signal, X_t is the original sample signal at time t , and Y_t is the reference signal also the at same time t . Variations in X_t due to the source will be canceled by the source reference Y_t .

25 Even more accurate and less noisy signals can be obtained by accounting for other effects which create differences between the sample and reference signals. Specifically, if the processing environment has radiation which is not from the source (e.g., ambient light), this will add to the sample signal but not to the reference signal. In addition, radiation detectors may have dark current, yielding a
30 nonzero signal when no light is present. Since stray light in the reference channel can be controlled, and detector dark current can be minimized with appropriate choice of detector type and offset electronics, background light in the sample signal channel is typically the dominant factor requiring compensation. During processing in a process chamber 28, where an external radiation source is used and the corresponding

reflected radiation is used to monitor events in the process chamber, radiation emitted by the plasma is usually the primary source of background radiation. Thus a correction for background radiation can also be applied by the signal analyzer 130 to further reduce the effect of fluctuations in the source and background radiation. The signal analyzer 130 mathematically operates on the sample signals to determine a sample signal corrected for background fluctuations, as shown in equations (1) and (4) below.

In a preferred method, the signal analyzer 130 mathematically operates on the sample and reference signals to determine a normalized sample signal corrected for both source fluctuations and ambient background, using the expression:

$$X_{nt} = X_t / \{Y_0 + C(Y_t - Y_0)\} \quad (1)$$

where C is the correction factor, Y_0 is the reference signal at time 0, X_t is the sample signal at time t, and Y_t is the reference signal at time t. The correction factor C is determined by:

$$C = \{(X_t - X_1) / X_1\} \{Y_0 / (Y_t - Y_0)\} \quad (2)$$

In a process where background radiation is generated (such as plasma processing), the sample signal data point obtained at a time 1 (i.e., at a sufficiently early time when the reflected source signal has not significantly changed from its initial state) can be expressed as:

$$X_1 = X_0 + B_1 \quad (3)$$

Assuming the background radiation is constant, $B_t = B_1$ for all measurements, i.e., $t = 2, 3$, etc.

Since the detector gain is constant for small and large signals, the substitution $(X_t - X_1) / (Y_t - Y_0) \sim X_0 / Y_0$ can be made, yielding:

$$C = X_0 / X_1 \quad (4)$$

The system analyzer 130 can use the expression of equation 4 to automatically calculate the correction factor C and apply the correction factor to calculate a normalized sample signal using equation 1. In an alternative embodiment, equation 2 rather than equation 4 can be used to calculate the correction factor.

An embodiment of the invention using correction for background light in an etching process may be better understood with reference to the flowchart in Figure 3. Initial measurements of the sample and reference signals are acquired.

Then the plasma is ignited. After the plasma has stabilized, but before significant etching has taken place, a sample signal, X_1 , is acquired and used to calculate the correction factor C according to equation 4. The etching process is monitored as a function of time by measuring the sample signal, X_t , and the reference signal, Y_t . The signal analyzer 130 inputs these signals and the correction factor in equation 1 to calculate the normalized sample signal X_{nt} . In this manner, accurate and reliable etch measurements are achieved even in the presence of background radiation and source lamp variations. The normalized sample signal is continuously monitored by the signal analyzer 130 and/or the computer 114 until the desired etch depth (or, alternatively viewed, layer thickness) has been obtained. This can be either by obtaining a desired value or desired pattern such as an interference pattern or characteristic endpoint waveform. At this point, the signal analyzer 130 or the computer 114 relays a signal to the process controller and the etch process is stopped. Thus etched features having the desired dimensions or a thickness of a layer deposited on the substrate or in the substrate itself are accurately and reliably obtained. The process may be monitored and controlled by a human through monitor interface 118 or the process may be entirely controlled via the automated system 110.

In another version of the invention, the instability of the radiation source 58 is addressed by monitoring the radiation emitted by the source and using a feedback controller 87 comprising a conventional closed loop feedback circuit to control power to the radiation source 58 to regulate any fluctuations or instabilities of its radiation output. For example, Figures 6 and 7 show two methods to achieve constant light output using the feedback control method instead of the normalizing mode. Both methods use a reference detector 63 which senses a radiation output or other property from the radiation source 58, either directly or using a beam splitter or fibers 69 as described herein. In the version illustrated in Figure 6, a reference detector 63 sends a reference signal as feedback to a difference (error) amplifier 79 to control a programmable power supply 81 which powers the radiation source 58. When the reference signal deviates from a desired or constant level, an error signal generated by the feedback controller 87 instructs the power supply 81 to correct in the direction of reducing the error, to try to maintain the source radiation at a controlled and flat output level.

Figure 7 illustrates another version of the feedback controller 87, in which a reference detector 63 is used to control a radiation modulator 83 in the path of the radiation output transmitted to the process chamber 28. The radiation modulator 83 is a device that modifies or otherwise changes a property of the radiation passing through it. For example, a radiation intensity may be controlled by a radiation modulator 83 comprising a low mass mechanical shutter, mirror, or variable density screen as means for partially attenuating the radiation that moves to block, filter, or otherwise reduce the radiation intensity level from the radiation source 58. The radiation modulator 83 may also comprise an electro-optical or acoustic-optical transducer that may operate at higher frequencies. Other radiation modulators 83 may also be used which incorporate other elements, such as lenses and diffraction gratings or moving mirrors.

While the feedback controller 87 may be effective in reducing source fluctuations, it may have some disadvantages over the normalizing method. For example, the feedback method may require limiting the nominal radiation output to a lower level than maximum attainable level to enable proper control of source fluctuations. Also, the normalizing method may be preferred for applications requiring as much radiation output as possible to enhance the signal to noise ratio. Other considerations relate to the flexibility and dynamic range that is desirable. For example, as a radiation source 58, such as a lamp, ages its output decreases over time, the feedback method can only correct over a limited range while the normalizing method can correct over the entire range. In addition, the normalizing method may be less limiting because it may also be used to compensate for background radiation or other effects.

Controller and Computer System

The chamber and endpoint detection system 56 is operated by a chamber controller 110 that executes software of a computer-readable program 112 of a computer system comprising a central processor unit (CPU) 114, such as for example a 68040 microprocessor, commercially available from Synergy Microsystems, California, or a Pentium Processor commercially available from Intel Corporation, Santa Clara, California, that is coupled to a memory 116 and peripheral computer

components. The memory 116 comprises a computer-readable medium having a computer-readable program embodied therein. Preferably, the memory 116 is a hard disk drive 122, but the memory can also be other kinds of memory, such as random access memory (not shown). In addition to memory 116, controller 110 can include a floppy disk drive 122 and a card rack. The interface between an operator and the controller 110 can be, for example, via a monitor 118 and a light pen 120, as shown in Figure 1. The light pen 120 detects light emitted by the monitor 118 with a light sensor in the tip of the light pen 120. To select a particular screen or function, the operator touches a designated area of a screen on the monitor 118 and pushes the button on the light pen 120. Typically, the area touched changes color, or a new menu is displayed, confirming communication between the user and the controller 110. In the preferred embodiment, two monitors 118 and light pens 120 are used, one mounted in a clean room for the operators and the other behind the wall for service technicians. Both monitors 118 simultaneously display the same information, but only one light pen 120 is enabled.

Other computer-readable programs such as those stored on other memory including, for example, a floppy disk or other computer program product inserted in a disk drive 122 or other appropriate drive, may also be used to operate the controller 110. The computer system card rack contains a single-board computer, analog and digital input/output boards, interface boards, and stepper motor controller boards. Various components of the apparatus 26 conform to the Versa Modular European (VME) standard, which defines board, card cage, and connector dimensions and types. The VME standard also defines the bus structure having a 16-bit data bus and 24-bit address bus.

The computer program 112 generally comprises process control software 124 comprising a set of instructions of program code to operate the chamber 28 and its components, signal monitoring software 126, safety systems software, and other control software. The computer-readable program can be written in any conventional computer-readable programming language, such as for example, assembly language, C, C++, Pascal, or Fortran. Suitable program code is entered into a single file, or multiple files, using a conventional text editor and stored or embodied in the computer-usable medium of the memory 116 of the computer

system. If the entered code text is in a high level language, the code is compiled, and the resultant compiler code is then linked with an object code of precompiled library routines. To execute the linked, compiled object code, the user invokes the object code, causing the CPU 114 to read and execute the code to perform the tasks identified in the program.

The processes for etching the substrate 20 and optionally cleaning the chamber 28 are implemented by the process control software 124 and is executed by the process controller 128. The process control software 124 includes sets of instructions that dictate the timing, gas compositions, gas flow rates, chamber pressure, chamber temperature, RF power levels, support position, heater temperature, and other parameters of a particular process. Figure 5 is an illustrative block diagram of the hierarchical control structure of the process control software 124, according to a specific embodiment. Using a light pen interface, a user enters a process set number and process chamber number into a process selector instruction set 132 in response to menus or screens displayed on the CRT terminal. The process sets are predetermined groups of process parameters necessary to carry out specified processes, and which are identified by predefined set numbers. Process selector instruction set 132 identifies (i) a desired process chamber 28, and (ii) the desired set of process parameters needed to operate the process chamber for performing the desired process. The process parameters for performing a specific process relate to process conditions, without limitations, such as for example, gas composition, gas flow rates, temperature, pressure, plasma conditions such as RF power levels (and in addition, microwave generator power levels for embodiments equipped with remote microwave plasma systems), cooling gas pressure and chamber wall temperature. Process selector instruction set 132 controls what type of process (etching, deposition, wafer cleaning, chamber cleaning, chamber gettering, reflowing) is performed at a certain time in chamber. In some embodiments, there may be more than one process selector instruction set 132. The process parameters are provided to the user in the form of a recipe and may be entered utilizing the light pen and monitor interface.

A process sequencer instruction set 134 comprises program code for accepting the identified process chamber 28 and set of process parameters from the

process selector instruction set 132, and for controlling operation of the various process chambers. Multiple users can enter process set numbers and process chamber numbers or a single user can enter multiple process set numbers and process chamber numbers, so the sequencer instruction set 134 operates to schedule the selected processes in the desired sequence. Preferably the sequencer instruction set 134 includes program code to perform the steps of (i) monitoring the operation of the process chambers 28 to determine if the chambers are being used, (ii) determining what processes are being carried out in the chambers being used, and (iii) executing the desired process based on availability of a process chamber 28 and the type of process to be carried out. Conventional methods of monitoring the process chambers 28 can be used, such as polling. When scheduling which process is to be executed, sequencer instruction set 134 can be designed to take into consideration the present condition of the process chamber 28 being used in comparison with the desired process conditions for a selected process, or the "age" of each particular user-entered request, or any other relevant factor a system programmer desires to include for determining scheduling priorities.

Once the sequencer instruction set 134 determines which process chamber 28 and process set combination is going to be executed next, the sequencer instruction set 134 initiates execution of the process set by passing the particular process set parameters to a chamber manager instruction set 136 that controls multiple processing tasks in a process chamber 28 according to the process set determined by the sequencer instruction set 134. For example, the chamber manager instruction set 136 comprises program code for controlling etch or deposition operations in the process chamber 28. Chamber manager instruction set 136 also controls execution of various chamber component instruction sets that control operation of the chamber components necessary to carry out the selected process set. Examples of chamber component instruction sets are substrate positioning instruction set, gas flow control instruction set 140, gas pressure control instruction set 142, optional heater control instruction set 144 for chambers equipped with a heater in the support, and plasma control instruction set 146. Depending on the specific configuration of the chamber 28, some embodiments include all of the above instruction sets or instruction set, while other embodiments may include only some of the instruction sets. Those having ordinary skill in the art would readily recognize that

other chamber control instruction sets can be included depending on what processes are to be performed in the process chamber **28**.

In operation, the chamber manager instruction set **136** selectively schedules or calls the process component instruction sets in accordance with the particular process set being executed. The chamber manager instruction set **136** schedules the process component instruction sets much like the sequencer instruction set schedules which process chamber **28** and process set are to be executed next. Typically, chamber manager instruction set **136** includes steps of monitoring the various chamber components, determining which components need to be operated based on the process parameters for the process set to be executed, and initiating execution of a chamber component instruction set responsive to the monitoring and determining steps.

Operation of chamber component instruction sets will now be described. The substrate positioning instruction set **138** comprises program code for controlling chamber components that are used to load the substrate **20** onto the support **32** and optionally, to lift the substrate **20** to a desired height in the chamber **28** to control the spacing between the substrate **20** and the gas outlets **38** of the gas delivery system **34**.

The process gas control instruction set **140** has program code for controlling the flow rates of different constituents of the process gas. The process gas control instruction set **140** controls the open/close position of the safety shut-off valves, and also ramps up/down the mass flow controllers to obtain the desired gas flow rate. The process gas control instruction set **140** is invoked by the chamber manager instruction set **136**, as are all chamber component instruction sets, and receives process parameters related to the desired gas flow rates from the chamber manager **136**. Typically, the process gas control instruction set **140** operates by opening the gas supply, and repeatedly (i) reading the necessary mass flow controllers, (ii) comparing the readings to the desired flow rates received from the chamber manager instruction set **136**, and (iii) adjusting the flow rates of the gas supply lines as necessary. Furthermore, process gas control instruction set **140** includes steps for monitoring the gas flow rates for unsafe rates and activating the

safety shut-off valves when an unsafe condition is detected. Process gas control instruction set **140** also controls the gas composition and flow rates for clean gases as well as for etching of deposition gases, depending on the desired process (etching, clean or deposition or other) that is selected. Alternative embodiments could have more than one process gas control instruction set **140**, each instruction set controlling a specific type of process or specific set of gas flow controllers.

In some processes, an inert gas such as nitrogen or argon is flowed into chamber **28** to stabilize the pressure in the chamber before reactive process gases are introduced. For these processes, the process gas control instruction set **140** is programmed to include steps for flowing the inert gas into chamber **28** for an amount of time necessary to stabilize the pressure in the chamber, and then the steps described above would be carried out. Additionally, when a process gas is to be vaporized from a liquid precursor, for example in the deposition of TEOS-derived glass, process gas control instruction set **140** is written to include steps for bubbling a delivery gas, such as helium, through the liquid precursor in a bubbler assembly, or for introducing a carrier gas, such as helium, to a liquid injection system. When a bubbler is used for this type of process, the process gas control instruction set **140** regulates the flow of the delivery gas, the pressure in the bubbler, and the bubbler temperature in order to obtain the desired process gas flow rates. As discussed above, the desired process gas flow rates are transferred to the process gas control instruction set **140** as process parameters. Furthermore, the process gas control instruction set **140** includes steps for obtaining the necessary delivery gas flow rate, bubbler pressure, and bubbler temperature for the desired process gas flow rate by accessing a stored table containing the necessary values for a given process gas flow rate. Once the necessary values are obtained, the delivery gas flow rate, bubbler pressure, and bubbler temperature are monitored, compared with the necessary values, and adjusted accordingly.

The pressure control instruction set **142** comprises program code for controlling the pressure in the chamber **28** by regulating the aperture size of the throttle valve **44** in the exhaust system **42** of the chamber. The aperture size of the throttle valve **44** is set to control the chamber pressure at a desired level in relation to the total process gas flow, the size of the chamber **28**, and the pumping set-point

pressure for the exhaust system **42**. When the pressure control instruction set **142** is invoked, the desired or target pressure level is received as a parameter from the chamber manager instruction set **136**. The pressure control instruction set **142** measures the pressure in the chamber **28** by reading one or more conventional pressure manometers connected to the chamber **28**, compares the measure value(s) with the target pressure, obtains PID (proportional, integral, and differential) values corresponding to the target pressure from a stored pressure table, and adjusts the throttle valve **44** according to the PID values obtained from the pressure table. Alternatively, the pressure control instruction set **142** can be written to open or close the throttle valve **44** to a particular aperture size to regulate the pumping capacity in chamber **28** to the desired level.

Optionally, the heater control instruction set **144** comprises program code for controlling the temperature of an optional heater element (not shown) used to resistively heat the support **32** and substrate **20**. The heater control instruction set **144** is also invoked by the chamber manager instruction set **136** and receives a target, set-point, or temperature parameter. The heater control instruction set **144** measures the temperature by measuring voltage output of a thermocouple located in the support, comparing the measured temperature with the set-point temperature, and increasing or decreasing current applied to the heating unit to obtain the set-point temperature. The temperature is obtained from the measured voltage by looking up the corresponding temperature in a stored conversion table or by calculating the temperature using a fourth-order polynomial. When an embedded loop is used to heat support, the heater control instruction set **144** gradually controls a ramp up/down of current applied to the loop. Additionally, a built-in, fail-safe mode can be included to detect process safety compliance and to shut down operation of the heating unit if the process chamber **28** is not properly set up.

The plasma control instruction set **146** comprises program code for setting low and high-frequency RF power levels applied to the process electrodes **50**, **52** in the chamber **28**. Like the previously described chamber component instruction sets, the plasma control instruction set **146** is invoked by the chamber manager instruction set **136**. For embodiments including remote plasma generator **46**, the

plasma control instruction set **146** would also include program code for controlling a remote plasma generator (not shown).

The endpoint detection instruction set **148** comprises program code that obtains the sample and reference signals from the signal analyzer and normalizes the property of the reflected radiation relative to the property of the radiation originating from the radiation source **58**, the properties comprising one or more of an intensity, phase or wavelength. The normalization method, i.e., dividing, subtracting, or multiplying the two signal properties is pre-programmed into the computer software. In addition, the compensation factor for determining background radiation is also written as program code. The endpoint detection instruction set **148** further comprises program code for performing other functions, such as detecting the endpoint of the process being performed on the substrate **20** based on a pre-determined method of evaluating the normalized sample signal and sending instructions to the chamber manager instruction set **136** or other instruction sets to change the process or other chamber conditions.

EXAMPLE

The following example illustrates the operation and superior results obtained through use of the present invention. The apparatus used is essentially that depicted in Figure 1, and is a Model Si ETCH DPS available from Applied Materials, Santa Clara, California. A blank silicon wafer was loaded into the process chamber. The source mercury discharge lamp and the sample and reference detectors were turned on. The source mercury discharge lamp was a Model CPG-1, available from Jelight Co. of Irvine, California; and the sample and reference detectors (photomultipliers, Hamamatsu Model R728). Either optical bandpass filters or monochromators were used to select the mercury emission at about 254 nm. The radiation transmitting fiber was used to transmit light from the lamp to the reference detector and the process chamber, and from the process chamber to the sample detector. A sample signal (X_0) and reference signal (Y_0) were acquired before igniting a plasma.

A plasma was ignited at 600W power for a process gas comprising SF_6 introduced into the chamber. Two detectors were used to simultaneously acquire the reference (Y) and sample (X) signals. The signals were transmitted to the signal analyzing computer, namely the Centura Endpoint Computer, available from Applied Materials, Santa Clara, California. The computer calculated the correction factor from the expression $C = X_0 / X_1$, and calculated the corrected sample signal using the expression $X_{\text{nt}} = X_t / \{Y_0 + C(Y_t - Y_0)\}$. A plot of the signals from 10 to 20 seconds is shown in Figure 4, where trace a is the sample signal, trace b is the reference signal and trace c is the corrected signal.

In this example, the apparatus and methods of the present invention (without background correction) achieved a 10 fold (1000%) improvement in the signal-to-noise ratio of the sample signal. The apparatus and methods of the present invention (with background correction, signal c) achieved a 40 fold (4000%) improvement in the signal-to-noise ratio of the sample signal.

Since a blank wafer was used in this experiment, the signal c in Figure 4 is featureless and smooth. In actual use, a wafer being etched would produce a time varying signal which, with the present invention, can be of small amplitude and still be readily detectable.

The present invention has been illustrated by way of an exemplary plasma etching process, however, other versions are possible. For example, the monitoring process and apparatus can be used for other processes and in other chambers as would be apparent to one of ordinary skill, including without limitation, sputtering chambers, ion implantation chambers and other deposition chambers. Therefore, the spirit and scope of the appended claims should not be limited to the description of the preferred versions contained herein.

What is claimed is:

1. A substrate processing apparatus comprising:
 - (a) a chamber comprising a radiation source;
 - (b) one or more detectors to detect a first radiation from the chamber, and a second radiation from the radiation source; and
 - (c) a signal analyzer to normalize a property of the first radiation relative to a property of the second radiation.
2. An apparatus according to claim 1 wherein the detectors are adapted to detect the same property of the first and second radiation, the property comprising one or more of an intensity, phase or wavelength.
3. An apparatus according to claim 1 wherein the detectors are adapted to detect the first and second radiation to determine an endpoint of the process.
4. An apparatus according to claim 1 comprising a first detector to detect a first radiation that is reflected in the chamber and generate a sample signal; and a second detector to detect a second radiation from the radiation source and generate a reference signal.
5. An apparatus according to claim 4 wherein the signal analyzer normalizes the reference and sample signals relative to one another to determine a normalized signal.
6. An apparatus according to claim 5 wherein the signal analyzer normalizes the reference and sample signals by determining a ratio of the signals.
7. An apparatus according to claim 6 wherein the signal analyzer is adapted to determine a corrected sample signal by applying a correction factor to the normalized signal.

8. An apparatus according to claim 7 wherein the signal analyzer determines a corrected sample signal, X_{nt} , using the expression $X_{nt} = X_t / \{Y_0 + C(Y_t - Y_0)\}$,

where C is the correction factor, Y_0 is the reference signal at time 0, X_t is the sample signal at time t, and Y_t is the reference signal at time t.

9. An apparatus according to claim 8 wherein the signal analyzer determines the correction factor using the equation $C = \{Y_0(X_t - X_1)\} / \{X_1(Y_t - Y_0)\}$, where X_1 is the sample signal at time 1.

10. An apparatus according to claim 8 wherein the signal analyzer determines the correction factor by the equation $C = X_0 / X_1$; where X_0 is the sample signal at time 0; and X_1 is the sample signal at time 1.

11. An apparatus according to claim 4 further comprising a radiation pathway capable of transmitting the second radiation from the radiation source to the second detector.

12. An apparatus according to claim 11 wherein the radiation pathway is in a radiation transmitting fiber.

13. An apparatus according to claim 12 wherein the radiation transmitting fiber comprises an optical fiber.

14. An apparatus according to claim 1 wherein the radiation source comprises a lamp, light emitting diode, laser, or a radiation emission from a plasma in the chamber.

15. A method for monitoring processing of a substrate in a chamber, the method comprising the steps of:

(a) providing radiation in the chamber, detecting the radiation after it interacts with the substrate being processed, and generating a sample signal;

(b) detecting a reference radiation that does not interact with the substrate and generating an reference signal; and

(c) normalizing the sample signal relative to the reference signal.

16. A method according to claim 15 wherein step (c) comprises the step of determining a ratio of the reference signal and the sample signal, or subtracting the reference signal from the sample signal.

17. A method according to claim 16 further comprising the step of correcting for a background radiation by applying a correction factor to the sample signal to determine a corrected sample signal.

18. A method according to claim 17 comprising the step of determining the corrected sample signal, X_{nt} , using the expression $X_{nt} = X_t / \{Y_0 + C(Y_t - Y_0)\}$;

wherein C is the correction factor, Y_0 is the reference signal at time 0, X_t is the sample signal at time t, and Y_t is the reference signal at time t.

19. A method according to claim 18 comprising the step of calculating the correction factor using the equation $C = \{Y_0(X_t - X_1)\} / \{X_1(Y_t - Y_0)\}$;

wherein X_1 is the sample signal at time 1.

20. A method according to claim 18 comprising the step of calculating the correction factor using the equation $C = X_0 / X_1$;

wherein X_0 is the sample signal at time 0; and X_1 is the sample signal at time 1.

21. A method according to claim 18 wherein step (b) comprises detecting radiation reflected from the substrate being processed in the chamber.

22. A method according to claim 18 further comprising the step of detecting the reference radiation by transmitting radiation from a radiation source directly to a detector.

23. A substrate processing apparatus comprising:

(a) a chamber capable of processing a substrate, the chamber comprising a radiation source;

(b) a detector to detect a reflected radiation from the chamber and generate a sample signal; and

(c) a signal analyzer adapted to receive the sample signal and determine a corrected sample signal, X_{nt} , using the expression $X_{nt} = X_t / \{Y_0 + C(Y_t - Y_0)\}$,

where C is the correction factor, Y_0 is the reference signal at time 0, X_t is the sample signal at time t, and Y_t is the reference signal at time t.

24. An apparatus according to claim 23 wherein the signal analyzer determines the correction factor using the equation $C = \{Y_0(X_t - X_1)\} / \{X_1(Y_t - Y_0)\}$; where X_1 is the sample signal at time 1.

25. An apparatus according to claim 23 wherein the signal analyzer determines the correction factor using the equation $C = X_0 / X_1$; where X_0 is the sample signal at time 0; and X_1 is the sample signal at time 1.

26. An apparatus according to claim 23 wherein the detector is adapted to detect a radiation originating from the radiation source and generate a reference signal, and wherein the signal analyzer is adapted to receive the reference signal and determine a normalized signal from the sample and reference signals.

27. An apparatus according to claim 26 wherein the signal analyzer determines the normalized signal by calculating a ratio of the sample and reference signals.

28. An apparatus according to claim 23 further comprising a radiation pathway capable of transmitting radiation from the radiation source to the reference detector.

29. An apparatus according to claim 28 wherein the radiation pathway is in one or more fibers.

30. A substrate processing apparatus comprising:

(a) a chamber capable of processing a substrate, the chamber comprising a radiation source;

(b) a sample detector to detect a reflected radiation from the chamber and generate a sample signal;

(c) a reference detector to detect a reference radiation from the radiation source and generate a reference signal; and

(d) one or more first fibers to transmit the reference radiation to the reference detector.

31. An apparatus according to claim 30 further comprising second fibers to transmit radiation from the radiation source to the chamber.

32. An apparatus according to claim 31 wherein the first and second fibers are arranged to receive radiation from one or more areas of the radiation source that have about the same size.

33. An apparatus according to claim 32 wherein the areas are from the same region of the radiation source.

34. An apparatus according to claim 31 wherein the first and second fibers are arranged to have substantially overlapping field of views.

35. An apparatus according to claim 31 wherein the first fibers lead directly from the radiation source to the reference detector.

36. An apparatus according to claim 31 further comprising a lens to focus the reference radiation from the radiation source onto the first fibers.

37. An apparatus according to claim 31 further comprising a signal analyzer to receive the reference and sample signals and normalize one relative to the other, and optionally, to correct the sample signal for background radiation.

38. A substrate processing apparatus comprising:

- (a) a chamber capable of processing a substrate, the chamber comprising a radiation source that includes a plasma;
- (b) a sample detector to detect a reflected radiation from a substrate in the chamber and generate a sample signal;
- (c) a reference detector to detect a reference radiation from the plasma and generate a reference signal; and
- (d) one or more first fibers to transmit the reference radiation to the reference detector.

39. An apparatus according to claim 38 wherein the second fibers receive radiation from the side of the plasma, or from an angle of the plasma which is not above the substrate.

40. A substrate processing apparatus comprising:

- (a) a chamber comprising a radiation source;
- (b) a detector to detect a property of a radiation from a radiation source; and
- (c) a feedback controller to regulate a power level of the radiation source in relation to the detected property of the radiation.

41. An apparatus according to claim 40 wherein the feedback controller is adapted to control a power supply that powers the radiation source.

42. An apparatus according to claim 40 wherein the feedback controller is adapted to maintain the property of the radiation at a constant level.

43. An apparatus according to claim 40 wherein the detector is adapted to detect a property of the radiation comprising one or more of an intensity, phase or wavelength.

44. A substrate processing apparatus comprising:

- (a) a chamber;
- (b) a radiation source;
- (c) a detector to detect a property of a radiation from a

radiation source and generate a reference signal; and

(d) a radiation modulator in a path of a radiation being transmitted from the radiation source to the chamber, whereby the radiation modulator may receive a signal from the radiation source and control a property of the radiation in relation to the reference signal.

45. An apparatus according to claim 44 wherein the detector is adapted to detect a property of the radiation comprising one or more of an intensity, phase or wavelength.

46. An apparatus according to claim 44 wherein the radiation modulator is adapted to regulate an intensity of the radiation.

47. An apparatus according to claim 44 wherein the radiation modulator is adapted to maintain the intensity of the radiation at a constant level.

48. An apparatus according to claim 44 wherein the radiation modulator comprises a shutter, mirror, or variable density screen.

49. An apparatus according to claim 48 wherein the radiation modulator comprises a means for partially attenuating the radiation.

50. An apparatus according to claim 44 wherein the radiation modulator comprises an electro-optical or acoustic-optical transducer.

51. An apparatus according to claim 44 wherein the radiation is transmitted to the detector by one or more radiation transmitting fibers.

52. A method for monitoring processing of a substrate in a chamber, the method comprising the steps of:

- (a) providing radiation in the chamber;
- (b) detecting a reference radiation that does not interact with the substrate and generating an reference signal; and
- (c) controlling a property of the radiation in relation to the reference signal.

53. A method according to claim 50 wherein step (c) comprises controlling a power level applied to a power supply of a radiation source.

54. A method according to claim 50 further comprising the steps of detecting the radiation after it interacts with the substrate being processed, and generating a sample signal and determining a ratio of the reference signal and the sample signal, or subtracting the reference signal from the sample signal.

55. A method according to claim 51 further comprising the step of correcting for a background radiation by applying a correction factor to the sample signal to determine a corrected sample signal.

56. A method according to claim 54 comprising the step of transmitting the reference radiation through a fiber.

ABSTRACT

A substrate processing apparatus comprises a process chamber comprising a radiation source. One or more detectors are provided to detect a first radiation from the chamber and a second radiation from the radiation source. A signal analyzer is adapted to normalize the first radiation relative to the second radiation, and optionally, to apply a correction factor to the sample signal.

064200 "E 546200

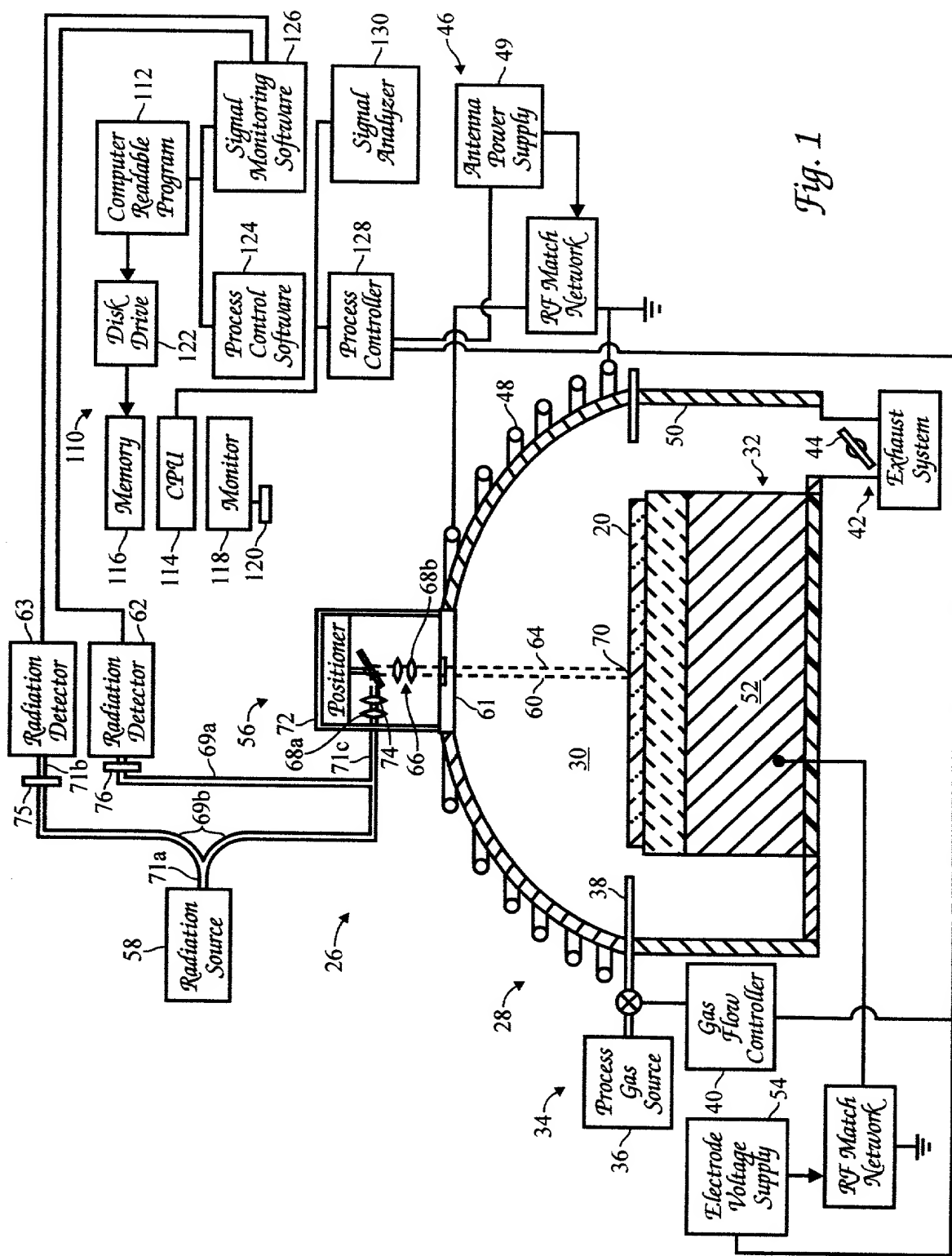
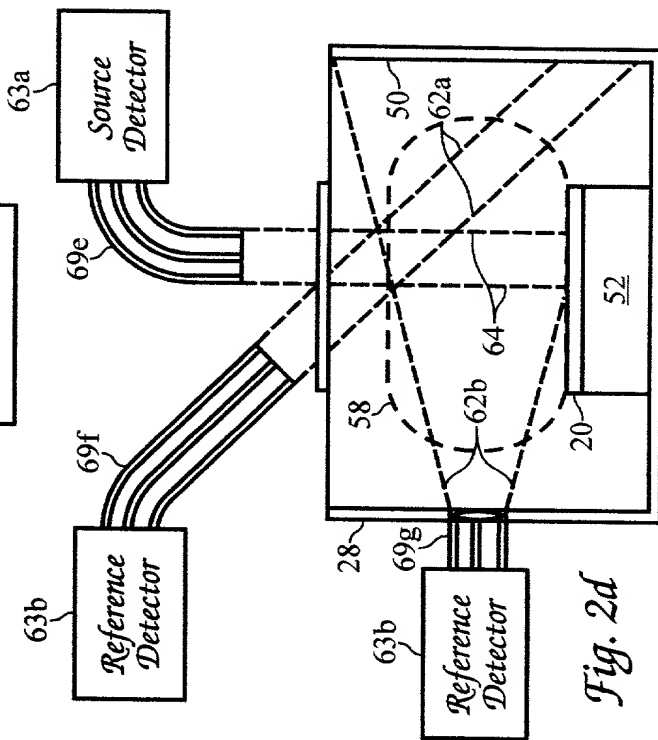
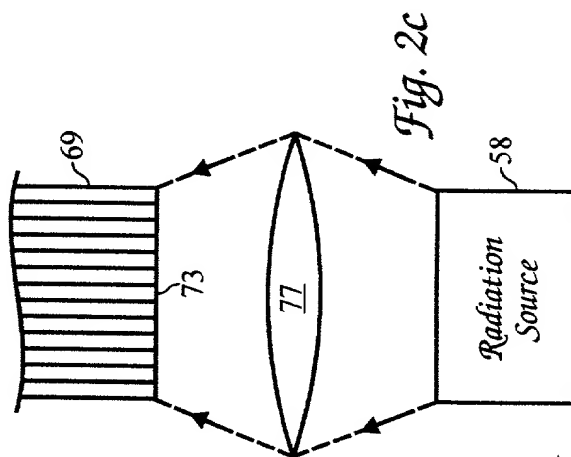
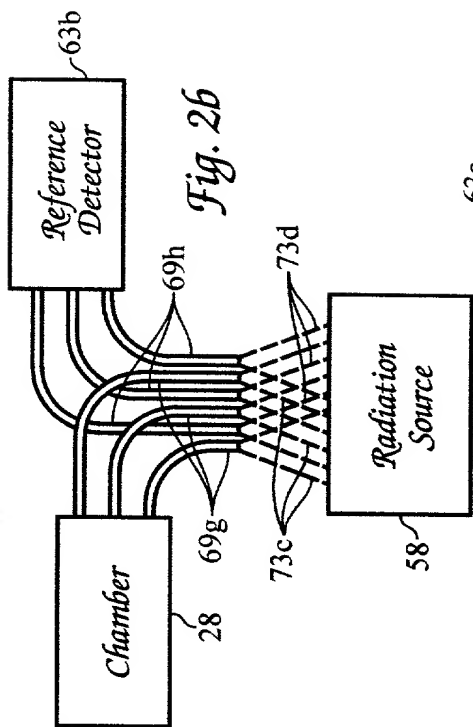
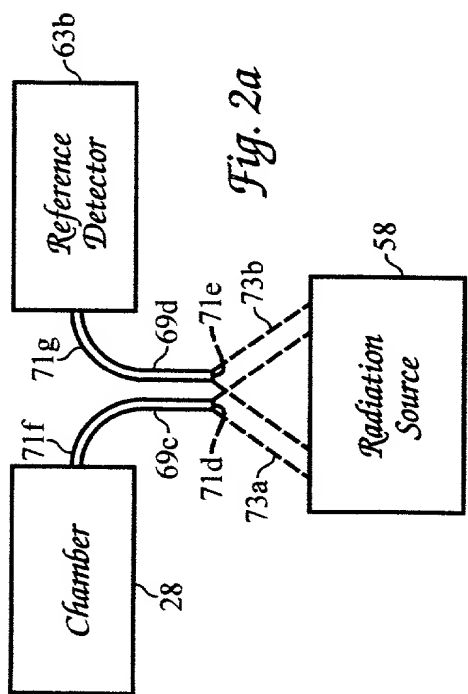


Fig. 1



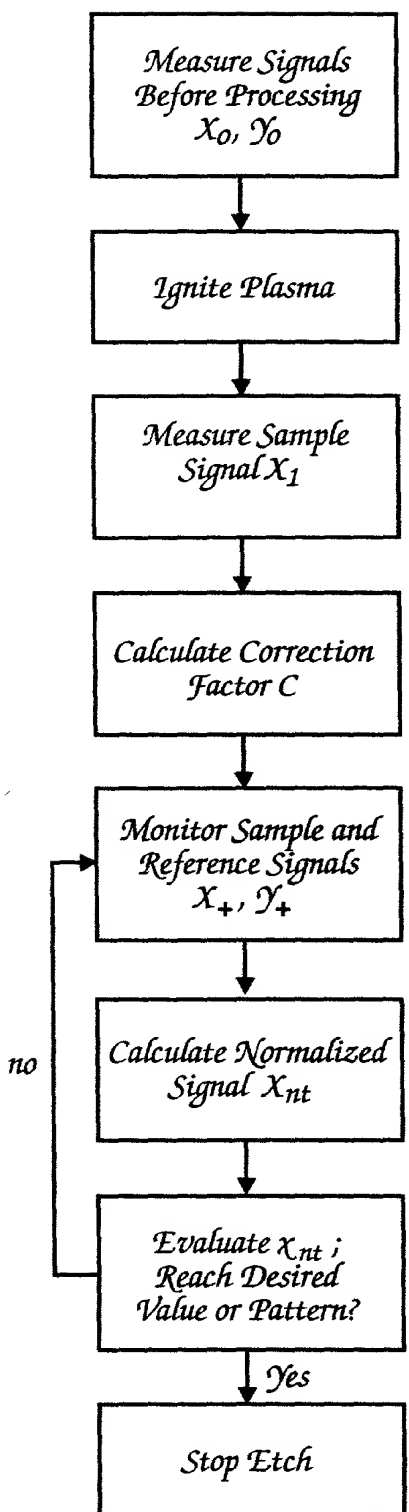


Fig. 3

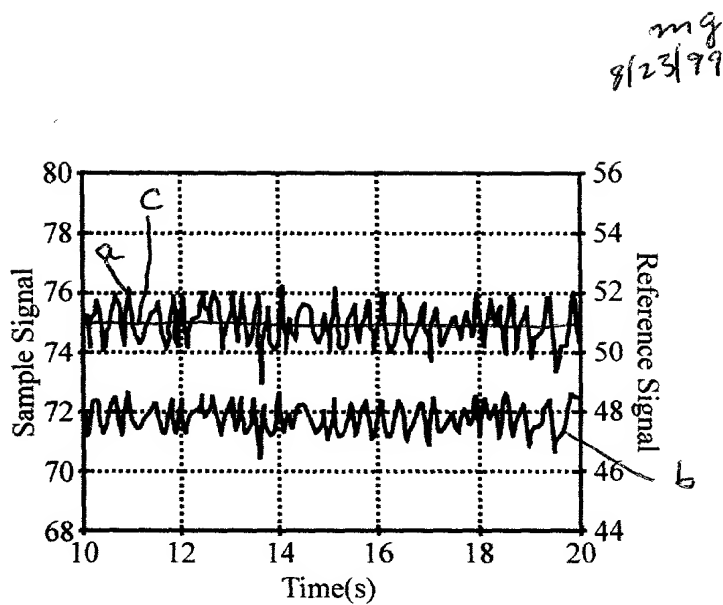


Fig. 4

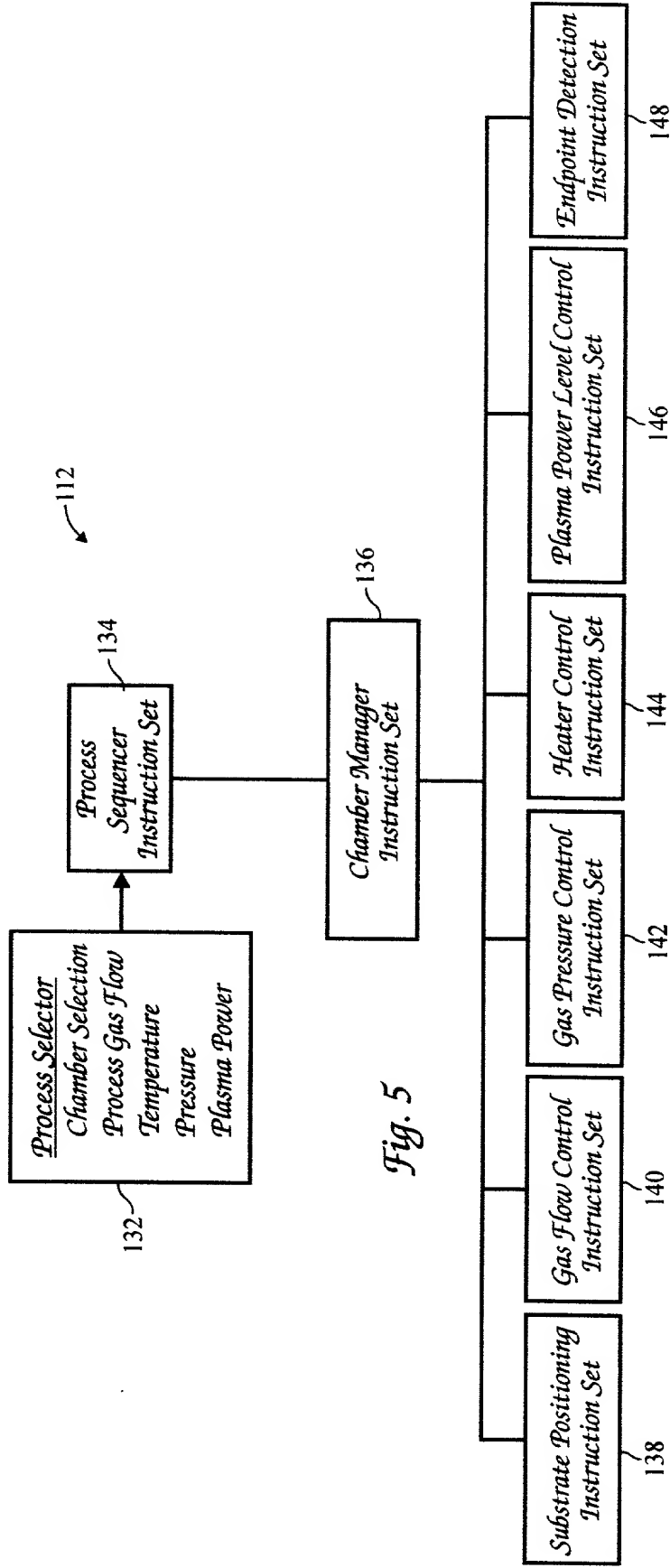


Fig. 5

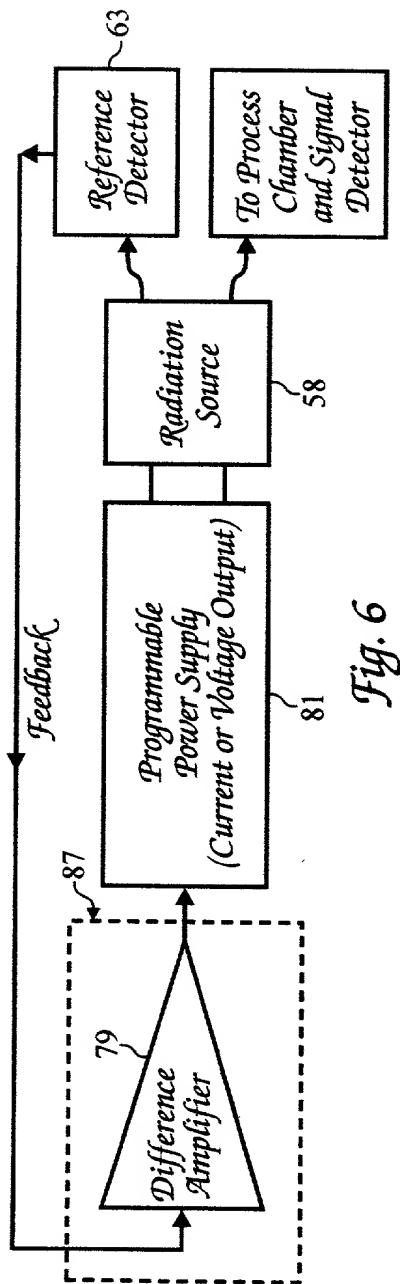


Fig. 6

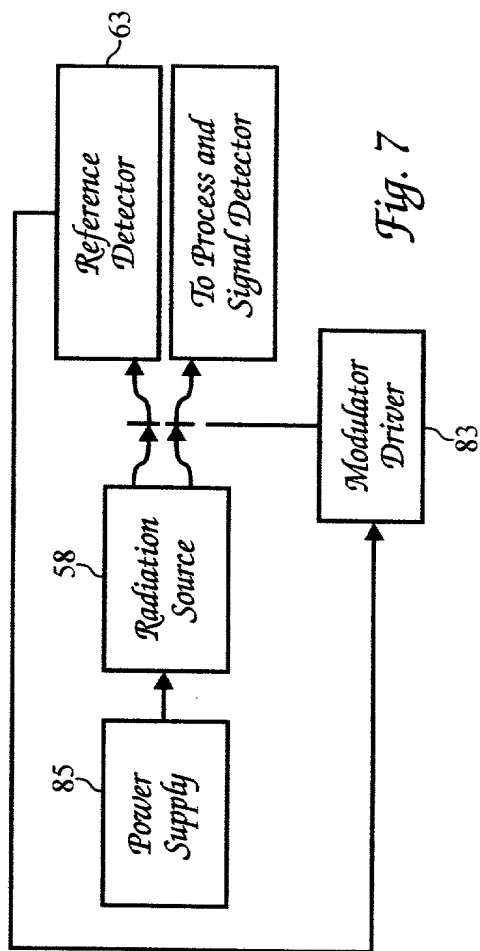


Fig. 7

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

This declaration is of the following type:

- ☒ original
- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part

INVENTORSHIP IDENTIFICATION

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

*"MONITORING A PROCESS AND COMPENSATING
FOR RADIATION SOURCE FLUCTUATIONS"*

SPECIFICATION IDENTIFICATION

The specification of which:

- ☒ is attached hereto
- ☐ was filed on _____, under Serial No. _____, executed on even date herewith; or ☐ Express Mail No. _____ (*as Serial No. not yet known*) and was amended on _____ (*if applicable*)
- ☐ was described and claimed in PCT International Application No. _____ filed on _____ and as amended under PCT Article 19 on _____ (*if any*).

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56,

and which is material to the examination of this application; namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, and

- ☒ In compliance with this duty there is attached an Information Disclosure Statement in accordance with 37 CFR § 1.98.

PRIORITY CLAIM (35 U.S.C. § 119)

I hereby claim foreign priority benefits under Title 35, United States Code § 119, of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America or of any United States Provisional Application(s) listed below, and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

☒ No such applications have been filed.

☐ Such applications have been filed as follows:

- A. Prior foreign/PCT application(s) filed within 12 months (6 months for design) prior to this application, and any priority claims under 35 U.S.C. § 119:**

<u>Country/PCT</u>	<u>Application No.</u>	<u>Date Filed</u>	<u>Priority Claimed</u>
--------------------	------------------------	-------------------	-------------------------

☐ Yes ☐ No

- B. All foreign application(s), if any, filed more than 12 months (6 months for design) prior to this U.S. application:**

Country:
Application No:
Filing date:

PRIORITY CLAIM (35 U.S.C. § 120)

I hereby claim the benefit under Title 35, United States Code, § 120, of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information that is material to the examination of this application (namely, information where there is substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

- ☒ No such applications have been filed.
- ☐ Such applications have been filed as follows:

<u>Serial No.</u>	<u>Filing Date</u>	<u>Patented</u>	<u>Status</u> <u>Pending</u>	<u>Abandoned</u>
(None)				

POWER OF ATTORNEY

I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Peter J. Sgarbossa	Registration No. 25,610
Donald Verplancken	Registration No. 33,217
Lawrence Edelman	Registration No. 25,226
Michael B. Einschlag	Registration No. 29,301
Joseph Bach	Registration No. 37,771
Raymond Kam-On Kwong	Registration No. 37,165
James C. Wilson	Registration No. 35,412
Robert W. Mulcahy	Registration No. 25,436
Ashok K. Janah	Registration No. 37,487

Send correspondence to: **Direct telephone calls to:**

Applied Materials, Inc.	Ashok K. Janah
Patent Department	(415) 538-1555
P.O. Box 450A	
Santa Clara, CA 95052	

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and, further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first or sole inventor: **GRIMBERGEN, MICHAEL N.**

Inventor's signature Michael N. Grimbergen Date: 8/23/99

Residence: Redwood City, California

Post Office Address: 767 Martinique Drive
Redwood City, California 94065
U.S.A.

Country of Citizenship: U.S.A

(Declaration ends with this page)